#### **PCT**

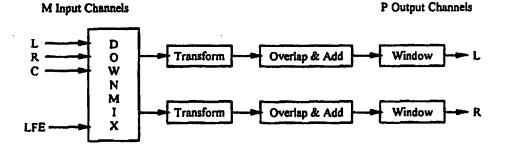
## WORLD INTELLECTUAL PROPERTY ORGANIZATION



#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> :		(11) International Publication Number: WO 98/51126
H04S 1/00	A1	(43) International Publication Date: 12 November 1998 (12.11.98)
(21) International Application Number: PCT/SG (22) International Filing Date: 8 May 1997 (		DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT,
(71) Applicant (for all designated States excep SGS-THOMSON MICROELECTRONICS AS CIFIC (PTE) LTD. [SG/SG]; 28 Ang Mo Kio Park 2, Singapore 569508 (SG).	IA P	With international search report.
(72) Inventors; and (75) Inventors/Applicants (for US only): ALVAREZ-7 Antonio, Mario [GB/SG]; 32 Toh Tuck Road Singapore 596710 (SG). GEORGE, Sapna [IN/SC 315, 2 Serangoon Avenue #06-220, Singapore 5500 YANG, Haiyun [CN/SG]; Block 506, 5 Ang Avenue #11-2628, Singapore 560506 (SG).	#03-0 3]; Bloo 315 (SG	k k ).
(74) Agent: DONALDSON & BURKINSHAW; P.O. B Singapore 905667 (SG).	ox 366	7.
	· .	

(54) Title: METHOD AND APPARATUS FOR FREQUENCY-DOMAIN DOWNMIXING WITH BLOCK-SWITCH FORCING FOR AUDIO DECODING FUNCTIONS



Block Diagram of Block Switch Forcing Method

#### (57) Abstract

An audio decoder solution is here provided where a reduction in computing power is required. The proposed method consists of forcing the multiple output channels to only one type of inverse transformation format. A format of long transform length is more suitable for input signals whose spectrum remains stationary or quasi-stationary. This provides a greater frequency resolution, improved coding performance and a reduction of computing power required. Another format of two or more short transform lengths, possessing greater time resolution, is more desirable for rapidly changing signals with time. The computer power required for two or more short transforms should be higher than for only one transformation. The time versus frequency resolution trade-off should be considered when selecting a transform block length. Advantage is taken of human hearing behaviour to reduce the computing power of a processing engine (e.g. DSP) when downmixing from an M-channel input to a P-channel output is required. The encoder provides spectral information concerning the transmitted audio signal frame. This information corresponds to signals which are stationary/quasi-stationary or changing rapidly with time. Some analysis is required to decide which input channels are forced to long or short block conversion prior to frequency-domain downmixing and transformation.

#### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
ΑT	Austria	. FR	France	LU	Luxembourg	SN	Senegal
ΑÜ	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	, TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL <sup>`</sup>	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IТ	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JР	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya-	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

٠..

- 1 -

# METHOD AND APPARATUS FOR FREQUENCY-DOMAIN DOWNMIXING WITH BLOCK-SWITCH FORCING FOR AUDIO DECODING FUNCTIONS

This invention relates generally to audio decoders. More particularly, the present 5 invention relates to multi-channel audio compression decoders with downmixing capabilities.

An audio decoder generally comprises two basic parts: a demultiplexing portion, the main function of which consists of unpacking a serial bit stream of encoded data, which in this case is in the frequency-domain; and time-domain signal processing, which converts the demultiplexed signal back to the time-domain. A multi-channel output section may be provided to cater for a multiple output format. If the number of channels required at the decoder output is smaller than the number of channels which are encoded in the bit stream, then downmixing is required. Downmixing in the time-domain is usually provided in present decoders. However, since the inverse frequency-domain transform is a linear operation, it is also possible to downmix in the frequency-domain prior to transformation.

The encoded data representing the audio signals may convey from one to multiple full bandwidth channels, along with a low frequency channel. The encoded data is organised into synchronisation frames. The way in which the demultiplexing and time-domain signal processing portions are related is a function of the information available in a synchronisation frame. Each frame contains several coded audio blocks, each of which represents a series of audio samples. Further, each frame contains a synchronisation information header to facilitate synchronisation of the decoder, bit stream information for informing the decoder about the transmission mode and options, and an auxiliary data field which may include user data or dummy data. For example for an AC-3 audio decoder from Dolby Laboratories of San Francisco, California, the data field is adjusted by the encoder such that the cyclic redundancy check element falls on the last word of the frame The cyclic redundancy check word is checked after more than half of the frame has been received. Another cyclic redundancy check word is checked after the complete frame has been received, such as described in Advance Television Systems Committee, Digital Audio Compression Standard

- 2 -

(AC-3), 20 December 1995. Another example is the MPEG-1 standard audio decoder where the cyclic redundancy check-word is optional for normal operation. However, if the MPEG-2 extension is required, then there is a compulsory cyclic redundancy check-word.

An audio block also contains information relating to splitting of the block into two or more sub-blocks during the transformation from the time-domain to the frequency-domain. A long block length allows the use of a long transform length, which is more suitable for input signals whose spectrum remains stationary or quasi-stationary. This provides a greater frequency resolution, improved coding performance and a reduction of computing power required. Two or more short length transforms, utilised for short block lengths, enable greater time resolution, and is more desirable for signals whose spectrum changes rapidly with time. The computer power required for two or more short transforms is ordinarily higher than if only one transformation is required. This approach is very similar to behaviour known to occur in human hearing.

15

Again as an example, in the Dolby AC-3 audio decoder mentioned above, dither, dynamic range, coupling function, channel exponents, bit allocation function, gain, channel mantissas and other parameters are also contained in each block. However, they are represented in a compressed format, and therefore unpacking, setting-up tables, decoding, expansion, calculations and computations must be performed before the pulse coded modulation (PCM) audio samples can be recognised.

The input bit stream for a decoder will typically come from a transmission ( such as HDTV, CTV) or a storage system (e.g. CD, DAT, DVD). Such data can be transmitted in a continuous way or in a burst fashion. The demultiplexing and bit decoding portion of the decoder synchronises the frame and stores up to more than half of the data before the start of processing. The synchronisation word and bit stream information are unpacked only once per frame. The audio blocks are unpacked one by one, and at this stage each block containing the new audio samples may not have the same length (i.e. the number of bits in each block may differ). However, once the audio blocks are decoded, each audio block will have the

- 3 -

same length. The first audio block contains not only new PCM audio samples but also extra information which concerns the complete frame. The rest of the audio blocks may contain a smaller number of bits. The bit decoding section performs an unpacking and decoding function, the final product of which will be the frequency transform coefficients of each 5 channel involved, in a floating-point format (exponents and mantissas) or fixed-point format.

The time-domain signal processing (TDSP) section first receives the transform coefficients one block at a time. In normal operation, when the signals spectra are relatively stationary in nature and have been frequency-domain transformed using a long transform length, a block-switch flag is disabled. The TDSP uses a 2N-point inverse fast Fourier transform (IFFT) of corresponding long length to obtain N time-domain samples. When fast changing signals are considered, the block-switch flag is enabled and signals are frequency-domain transformed differently, though the same number of coefficients, N, are also transmitted. Then, a short length inverse transform is used by the TDSP.

15

Where the audio decoder receives M channel inputs (M an integer), and produces P output channels, where M>P and P<O, the audio decoder must provide M frequency-domain transformations. Since only P output channels are required, a downmixing process is then performed. The number of channel is downmixed from M to P.

20

It is an object of the invention to provide an audio decoder which mixes M channels down to P channels in the frequency-domain rather than in the time-domain; M>P and P<O. This can be referred as the block-switch forcing method. Accordingly, the maximum number of M frequency-domain to time-domain transformations is not required. Instead, according to the type of signal transformed into the frequency-domain, the number of these transformations can be reduced from M to P.

In accordance with the present invention, there is provided a method of audio data decoding, comprising: receiving a data signal and demultiplexing the data signal into a plurality of M frequency-domain input data channels; downmixing said M frequency-domain input channels

- 4 -

into P frequency-domain channels, where M > P and P > 0, M and P both integers; and selecting an inverse transformation length and performing an inverse transformation of the P frequency-domain channels according to the selected length, so as to produce P audio sample output channels.

5

The present invention also provides an audio decoder, comprising: a demultiplexer for receiving a data signal and demultiplexing the data signal into a plurality of M frequency-domain input data channels; means for downmixing said M frequency-domain input channels into P frequency-domain channels, where M>P and P>0, M and P both integers; and means for selecting an inverse transformation length and performing an inverse transformation of the P frequency-domain channels according to the selected length, so as to produce P audio sample output channels.

Preferably, the transform length of each of the M frequency-domain input channels is determined. The transform lengths of the input channels may comprise a long or a short transform length, and the relative numbers of long and short transform lengths amongst the M input channels may be utilised to select the inverse transform length for performing the inverse transformation of the P downmixed frequency-domain channels.

- In embodiments of the invention, a specific data channel contains a number of transform coefficients and information indicating the type of transformation effected in the encoding process, such as a transformation involving one long block (referred to as "longblock" or "LB" hereafter), or two or more short blocks (referred to as "shortblock" or "SB" hereafter) being transformed one after the other. There are several combinations of frequency-domain downmixing using the herein described block-switch forcing method:
  - (1) If the number of input channels is an even number (M even) and the number of channels comprising longblocks is LB≤M/2, then the channels with LB will be converted to shortblock, SB, channels.

WO 98/51126

- (2) If the number of input channels is an even number (M even) and the number of channels comprising longblocks is LB>M/2, then the channels with LB will remain intact.
- 5 (3) If the number of input channels is an even number (M even) and the number of channels with shortblocks is SB < M/2, then the channels with SB will be converted to longblock, LB, channels.
- (4) If the number of input channels is an even number (M even) and the number
   10 of channels with shortblocks is SB≥M/2, then the channels with SB will remain intact.
  - (5) If the number of input channels is an odd number (M odd) and the number of channels comprising longblocks is LB≤ INT(M/2), then the channels with LB will be converted to shortblock, SB, channels.

15

- (6) If the number of input channels is an odd number (M odd) and the number of channels comprising longblocks is LB > INT(M/2), then the channels with LB will remain intact.
- 20 (7) If the number of input channels is an odd number (M odd) and the number of channels with shortblocks is SB < INT(M/2), then the channels with SB will be converted to longblock, LB, channels.
- (8) If the number of input channels is an odd number (M odd) and the number of channels with shortblocks is SB≥ INT(M/2), then the channels with SB will remain intact.

When one of the previous combinations applies, the block-switch forcing method and the downmixing in the frequency domain (i.e. M down to P channels) can be performed.

30 This applies for all the channels having the same format, either longblock, LB, or shortblock,

- 6 -

SB, formats. This approach can save (M-P) frequency-domain to time-domain transformations, and thus significant processing resources can be saved.

#### Considering that:

- 5 (a) a long transform length is more suitable for input signals whose spectrum remains stationary or quasi-stationary (this provides a greater frequency resolution, improved coding performance and a reduction of computing power required); and that:
- (b) two or more short length transforms, possessing greater time resolution, is more desirable for signals having spectra rapidly changing with time (the computer power required for two or more short transforms is generally higher than for only one transformation); the preferred form of channel conversion is from two or more shortblocks, SBs, to only one longblock, LB, due to the lower computing power required. However, the option of converting from one longblock, LB, to two or more shortblocks, SBs, is also within the scope of this invention.

It will be appreciated that the way of selection of block conversion will in practice depend on the actual characteristics of the audio samples being analysed. In other words, if in the M-input channels, the numbers of longblock, LB, format channels is higher than the number of shortblock, SB, format channels, this suggests that the particular frame of audio samples are stationary or quasi-stationary in nature and that the shortblocks should be converted to a longblock. On the other hand, if in the M-input channels, the number of longblock, LB, format channels is smaller than the number of shortblock, SB, format channels, then this also suggests that the particular frame of audio samples contains a higher time domain resolution and that a longblock should be converted to shortblocks. Any given audio program may have any type of signal content; from purely stationary waveforms to completely random behaviour. However, some further simplifications can be obtained if the general nature of the audio program is known apriori, which would allow the audio decoder

-7-

to determine in advance the most suitable form of block conversions, without having to make that determination from an examination of the received data itself.

Example of the Methodology of the Invention

5

a) For converting N frequency-domain audio samples from a longblock, LB, format to two or more shortblock, SB, format, the longblock can be split as follows:

SB-1: 
$$X_0[Sk]$$
;  $k=0,1,...,N-1$   
SB-2:  $X_1[Sk+1]$ ;  $k=0,1,...,N-1$ 

10

SB-S:
$$X_{s-1}[Sk+(S-1)];$$
  $k=0,1,...,N-1$ 

The frequency-domain downmixing is then performed and the frequency-domain to time-domain conversion using shortblocks is applied. Note, S is the number of shortblocks the longblock is divided into.

The downmixed output can be represented as:

$$Y_0[k] = downmixed from\{X_0[k], X_1[k], \dots, X_S[k]\}$$

$$Y_{i}[k] = downmixed from{X_{0}[k], X_{1}[k], ..., X_{S}[k]}$$

20

$$Y_P[k] = downmixed from\{X_0[k], X_1[k], \dots, X_S[k]\}$$

A frequency-domain transformation is used in order to recover the time-domain samples. It is desirable that the number of shortblocks be a non-prime number with the purpose of using power-of-two based Fourier transformations. However, the general principles are applicable even for an odd or prime number of shortblocks. In these cases normal Fourier transformation may be used.

b) For converting N frequency-domain audio samples from two or more shortblock, SB, 30 format to a longblock, LB, format, the shortblocks are no longer de-interleaved, the

frequency-domain downmixing takes place and the same principle of frequency-domain to time-domain conversion using longblock is applied.

Thus, as mentioned, before the frequency-domain to time-domain conversion is applied, the frequency-domain downmixing operation from M-input channels to P-output channels is employed, which reduces the computing power required for the audio decoder function as well as the memory used for the conversion.

The invention is described in greater detail hereinbelow, by way of example only, with 10 reference to the accompanying drawings, wherein:

Figure 1 is a general block diagram of an encoder and decoder system for audio compression in a multi-channel configuration;

Figure 2 is a block diagram of the decoder function of the audio system which includes bit parsing and time-domain aliasing cancellation sections;

Figure 3 is a general block diagram of a prior art audio decoder configured for downmixing;

Figure 4 is a more detailed block diagram of the audio decoder of Figure 3, showing interconnected transformation, downmixing, overlap-and-add technique and windowing blocks;

Figure 5 shows a practical implementation of the overlap-and-add technique involving windowing;

Figure 6 shows the implementation of Figure 5 in a block diagram form;

Figure 7 is a general block diagram of an audio decoder according to an embodiment of the invention, showing interconnected block-switch selection and downmixing, 25 transformation, overlap-and-add technique and windowing blocks;

Figure 8 shows the implementation of the frequency-domain downmixing prior to the time-domain conversion by the inverse transform, with the frequency-domain coefficients forced to be transformed by using two or more inverse transforms;

-9-

Figure 9 shows the implementation of the frequency-domain downmixing prior to the time-domain conversion by the inverse transform, with the frequency-domain coefficients forced to be transformed using a single inverse transform; and

Figure 10 is a flow diagram illustrating the general procedure for audio decoding 5 according to embodiments of the invention.

For audio signals with stationary or quasi-stationary nature, the PCM audio signals are partitioned in sections of 2N time-domain audio samples. The block diagram of Figure 1 shows an example of the methodology of frequency-domain to time-domain conversion. This involves "windowing" and overlap-and-add technique to recover the PCM audio samples. This technique is described, for example, in "The Fast Fourier Transform" (E.O. Brigham, Prentice-Hall Inc., pp 206-221), the contents of which are included herein by reference. Figure 2 shows the decoder function of the audio system which includes the bit parsing and the time-domain aliasing cancellation sections. In these configurations, the number of output channels from the decoder equals the number of input channels contained in the serial bit stream, and thus no downmixing is required.

In many reproduction systems, the number of output channels (loudspeakers) will not match the number of encoded audio channels, M>P. In order to reproduce the complete audio program downmixing is required. Downmixing can be performed in the time-domain. However, since the inverse transform is a linear operation, downmixing can also be performed in the frequency-domain prior to transformation. Downmixing coefficients are needed in order to keep the downmixing operation at the correct output levels without driving the output channels out of the capabilities range, and the downmixing coefficients may vary from one audio program to another, as is readily apparent to those of ordinary skill in the art. The downmixing coefficients will also allow program producers to monitor and make necessary alteration to the programs so that acceptable results are achieved for all type of listeners, from professional audio equipment enthusiasts to consumer electronics and multimedia audience.

- 10 -

Figure 3 is a block diagram showing another prior art audio decoder construction, in this case requiring a downmixing function in order to provide the audio output through fewer channels than was used to encode the audio data originally. The multi-channel input section is downmixed to multi-channel output where the number of output channels is smaller than the number of input channels. The block diagram of Figure 4 illustrates the interconnections of the transformation, downmixing, overlap-and-add technique and windowing blocks as used in prior art audio decoding and downmixing constructions. An example of this form of construction is described in United States Patent Number 5,400,433, assigned to Dolby Laboratories Licensing Corporation. It is to be noted that in this form of audio decoding and downmixing, because the downmixing is performed in the time-domain format of the audio data, each of the frequency-domain channels must be inverse transformed, requiring significant computational processing power.

The overlap-and-add and windowing techniques mentioned above are described through 15 example below. In the following example 2N=512, such that a longblock, LB, comprises 512 time-domain samples and a shortblock, SB, comprises 256 samples.

The frequency-domain coefficients are represented by:

$$X[k], k=0,1,...,N-1$$

20

These frequency-domain coefficients are augmented with zeroes to form one period (e.g. 2N) of a periodic function to eliminate overlap effects. In particular, the value of N is chosen to be N=2<sup>γ</sup>, γ integer value, and 2N-N=Q are zero values. Note that the addition of Q zeroes ensures that there will be no end effect. The computation procedure for the inverse fast 25 Fourier transform (IFFT) convolution, overlap-and-add method is detailed below.

Form the sampled periodic function X[k]

$$X[k] = X[k],$$
  $k = 0,1,...,N-1$ 

$$X[k]=0,$$
  $k=N,N+1,...,2N-1$ 

- 11 -

Compute the inverse fast Fourier transform (IFFT) of X[k]

$$z[n] = \sum_{k=0}^{N-1} X[k]e^{j2\pi nk/N}$$

Repeat the same steps for the next period and combine the sectioned results by the according to:

$$z[n] = z_1[n] \qquad n = 0, 1, ..., 2N-Q$$

$$z[n+2N-Q+1] = z_1[n+2N-Q+1] + z_2[n] \qquad n = 0, 1, ..., 2N-Q$$

$$z[n+2(2N-Q+1)] = z_2[n+2N-Q+1] + z_3[n] \qquad n = 0, 1, ..., 2N-Q$$
etc.

For audio signals with random or dynamic nature, the PCM audio signals are partitioned in sections of 2N time-domain audio samples and two or more sections are taken per frame.

Figure 5 shows a practical implementation of the overlap-and-add technique involving windowing. N frequency-domain coefficients are obtained from the encoder. N/2 of these coefficients correspond to the real part and N/2 to the imaginary part (i.e. there are N/2 complex coefficients). A pre-twiddle operation is first performed to these coefficients before converting them into the time-domain by using a N/2-point IFFT. A post-twiddle operation is performed to these time domain samples before windowing. The real part of the time-domain samples is first windowed to produce: the odd frequencies of the lowers N/4 section (OLL); the odd frequencies of the highest N/4 section (OHH); and the even frequencies of the middle N/2 section (EHL & ELH). The imaginary part of the time-domain samples is then windowed to produce: the even frequencies of the highest N/4 section (EHH); the even frequencies of the lowest N/4 section (ELL); and the odd frequencies of the middle N/2 section (OLH & OHL). Figure 6 shows the same implementation in a block diagram form.

25 In the following mathematical example it is considered that the N/2=256 transformed coefficients received by the TDSP block were obtained in the encoder section by using

- 12 -

2N=512 real time-domain audio samples. With this consideration, some simplifications can be obtained by working in the frequency-domain.

For the practical implementation, assume that the length of the blocks is such that N=512 and 5 128 complex-valued transform coefficients were obtained from a 128 real-valued input sequence. Here, 128 zeroes are considered for the imaginary part.

Define the frequency-domain transform coefficients

 $X[k] = X_R[k]$ 

k=0,1,...,127

 $10 X[k] = X_{t}[k]$ 

k = 128..., 255

Compute N/4-point complex multiplication product

$$Z[k] = (X[N/2 - 2k - 1]x\cos 1[k] - X[2k]x\sin 1[k]) + i(X[2k]x\cos 1[k] + X[N/2 - 2k - 1]x\sin 1[k]),$$

k=0,1,...,127

15

where

$$x\cos 1[k] = -\cos(2\pi(8k+1)/(8N))$$
  
 $x\sin 1[k] = -\sin(2\pi(8k+1)/(8N))$ 

20 Compute N/4-point complex IFFT

$$z[n] = z[n] + Z[k](\cos(8\pi kn/N) + j(\sin(8\pi kn/N)),$$

n=0,1,...,127

Compute N/4-point complex multiplication product

$$y[n] = (zr[n]xcos1[n] - zi[n]xsin1[n])$$

25 + 
$$j(zi[n]xcos1[n] + zr[n]xsin1[n])$$
,

n=0,1,...,127

where

$$zr[n] = real[z[n])$$

$$zi[n] = imag(z[n])$$

- 13 -

Compute windowed time-domain samples

	x[2n] = -yi[N/8 + n]w[2n];	n=0,1,,63
	x[2n+1] = yr[N/8-n-1]w[2n+1];	n=0,1,,63
	x[N/4+2n] = 1yr[n]w[N/4+2n];	n=0,1,,63
i .	x[N/4+2n+1]=yi[N/4-n-1]w[N/4+2n+1];	n=0,1,,63
	x[N/2+2n] = -yr[N/8-n]w[N/2-2n-1];	n=0,1,,63
	x[N/2+2n+1]=yi[N/8-n-1]w[N/2-2n-2];	n=0,1,,63
	x[3N/4+2n]=yi[n]w[N/4-2n-1];	n=0,1,,63
	x[3N/4+2n+1]=-yr[N/4-n-1]w[N/4-2n-2];	n=0,1,,63

10

5

The first half of the windowed block is overlapped with the second half of the previous block. These two halves are added sample-by-sample to produce the PCM output audio samples. This implementation is represented step-by-step in Figure 5, where the value of N=512, and the blocks shown represent data at vaious stages of the process. The process as described progresses down the page as shown in Figure 5.

A similar practical implementation is obtained when two or more shortblocks are transmitted. The difference lies on the inverse transformation block size being used. The transformed block size is divided by the number of shortblocks considered. For this case, N/2=256 transformed coefficients received by the TDSP were also contained by using 2N=512 real-valued time-domain audio samples.

The difference here consists in that 256 real-valued time-domain samples are taken in first place and then converted into the frequency domain by using a 128-point FFT. This provides only 128 complex transform coefficients. The second 256 real-valued time-domain samples follow the same procedure. At the end, the two blocks of 128 complex coefficients are interleaved in order to form the 256 complex transform coefficients.

- 14 -

In view of the first  $\frac{N}{2}$  - 1 frequency components being an exact mirror of the second  $\frac{N}{2}$  - 1 components, only  $\frac{N}{2}$  coefficients are transmitted (i.e. 128 real-valued block and 128 imaginary-valued block, one after the other).

- 5 The interconnection of the block-switch selection and downmixing, transformation, overlapand-add technique and windowing sections, according to an embodiment of the present
  invention, is illustrated in Figure 7. Figure 8 shows the implementation of the frequencydomain downmixing prior to the time-domain conversion by the inverse transform, in the case
  where the frequency-domain coefficients are forced to be transformed using two or more
  inverse transforms. The case where two or more small blocks of the frequency-domain
  coefficients are forced to be transformed using a single inverse transform is illustrated in
  Figure 9.
- Referring to Figures 8 and 9, which illustrate processing procedures of the preferred 15 embodiment, an N real-valued or complex-valued audio samples are taken and used back-to-back with N real-valued or complex-valued audio samples of the previous block to form a 2N samples block (Figure 8). Based on transients detection used to determine when to switch from a long transform block to the short transform block, each audio block is transformed into the frequency-domain by performing one long 2N-point transform, or two or more short 2N/S-point transforms. Note, S is the number of sections the long block is divided into. At the end of this step, N real-valued or complex-valued transform coefficients should be transmitted.
- For real-valued audio samples, the same procedure applies but the number of transform coefficients transmitted is reduced by half. This is due to the fact that the frequency-domain coefficients are mirrored from the DC component to  $\frac{fs}{4}$  and from  $\frac{fs}{4}$  to  $\frac{fs}{2}$ . In this case, only N/2 complex-valued coefficients are transmitted.

At the decoder side, two scenarios are encountered: the scenario where N/2 complex-valued coefficients of a channel which were obtained by performing one long 2N-point transform at

- 15 -

the encoder section. There is need to downmix these coefficients to other N/2 complex-valued coefficients of other channels which were obtained by performing two or more 2N/S-point transforms at the encoder section. The solution is to de-interleave the coefficients of the former channel and separate the number of sections, S, required. The frequency-domain downmixing is applied and the number of output channels obtained. Each of these channels coefficients will be padded with (N/S) zeroes and the Fourier transform applied to each of them. A "window" function is used to reduce the effects of block Fourier transformation and the overlap-and-add method applied to recover the original audio samples.

- 10 The second scenario where the N/2 complex-valued coefficients of a channel were obtained by performing two or more 2N/S-point transforms at the encoder section. There is need to downmix these coefficients to other N/2 complex-valued coefficients of other channels which were obtained by performing one long 2N-point transform at the encoder section. The solution here is to de-interleave the coefficients of the former channel and add (S-1) zeroes between the de-interleaved coefficients. The frequency-domain downmixing is applied and the number of output channels obtained. At each of these channels coefficients the Fourier transform will be applied. A "window" function is used to reduce the effects of block Fourier transformation and the overlap-and-add method applied to recover the original audio samples.
- The general procedure of audio decoding according to embodiments of the invention is illustrated in block diagram form in Figure 10. The procedure begins with the reception by the audio decoder of a frame of encoded audio data. As mentioned this encoded audio data frame may typically originate from a either a transmission or storage system, and comprise part of a serial bit stream. The encoded audio data frame comprises a plurality of blocks of data corresponding to separate channels in the audio program, and the blocks are multiplexed together in the frame in a known way. Thus, after receiving the frame the audio decoder proceeds to de-multiplex the frame into the plural (M, M an integer > 1) data blocks corresponding to audio data channels. The audio data in each data block is encoded in the frequency domain, and the method in which is was transformed from the time-domain audio samples to the frequency-domain audio data may vary depending in particular upon the time

- 16 -

varying nature of the original audio signal frequency spectrum. For audio signals in which the frequency spectrum remains stationary or quasi-stationary, the PCM samples therefrom may typically be transformed in long blocks using a relatively long fast Fourier transform length, for example. This is advantageous in that longer transform lengths require less computing power resources than is needed for use of a shorter transform. However, if the audio frequency spectrum of the signal changes relatively rapidly with time, the performance of the audio system can be significantly enhanced if the audio signals are encoded using shorter audio data sample blocks and corresponding shorter transform lengths.

Once the audio data frame has been de-multiplexed into its constituent data channel components, each channel (data block) is examined by the decoder to determine the method by which the audio data in the block was transformed from the time-domain to the frequency domain. This might typically be accomplished by examining a sub-block-size flag or the like transmitted as part of the data block or in the frame as a whole. Of the M plural channels comprising the audio data frame, the number of channels encoded using a short transform length and the number encoded using a long transform length are tallied by the decoder.

As discussed hereinabove, a saving of computing resources can be achieved if long length transformations are employed, and that applies equally well to the inverse transformations which take place at the decoder. Thus, if it is possible to decode an audio channel using a long inverse transformation, then this is preferable from the computing resources viewpoint, even if in some instances the corresponding data block was initially encoded in several short sub-blocks using a short transform length. The use of a particular inverse transform length to decode data encoded using a different length transform is referred to herein as block-switch forcing. To minimise computing resources in the decoder it is obviously preferred that the inverse transform be force switched to longer blocks more often, however the forced use of a shorter length (and thus computationally more expensive) inverse transform where a long length transform was used for encoding is also within the ambit of the invention.

Care must be taken that the audio quality it not degraded significantly by block-switch forcing to a long inverse transform length where a short transform would ordinarily be appropriate. Accordingly, the following guidelines are utilised for the selection of the various forms of forced block-length switching, based on the relative numbers of channels in the audio data frame which were encoded using short and long length blocks.

(1) If the number of total channels is an even number (M even) and the number of channels comprising longblocks is LB≤M/2, then the channels with LB will be converted to shortblock, SB, channels.

10

- (2) If the number of total channels is an even number (M even) and the number of channels comprising longblocks is LB > M/2, then the channels with LB will remain intact.
- (3) If the number of total channels is an even number (M even) and the number of 15 channels with shortblocks is SB < M/2, then the channels with SB will be converted to longblock, LB, channels.
  - (4) If the number of total channels is an even number (M even) and the number of channels with shortblocks is  $SB \ge M/2$ , then the channels with SB will remain intact.

20

- (5) If the number of total channels is an odd number (M odd) and the number of channels comprising longblocks is LB≤ INT(M/2), then the channels with LB will be converted to shortblock, SB, channels.
- 25 (6) If the number of total channels is an odd number (M odd) and the number of channels comprising longblocks is LB > INT(M/2), then the channels with LB will remain intact.
- (7) If the number of total channels is an odd number (M odd) and the number of channels with shortblocks is SB < INT(M/2), then the channels with SB will be converted to longblock, LB, channels.</p>

(8) If the number of total channels is an odd number (M odd) and the number of channels with shortblocks is SB≥ INT(M/2), then the channels with SB will remain intact.

The downmixing of the audio data channels from M channels to P channels (M>P) is performed using a frequency domain downmixing table, as discussed hereinabove, as is known amongst those in the relevant art. As mentioned the values of the coefficients in the downmixing table may vary from one application to another, for example depending upon the nature of the audio program to be decoded and downmixed.

- 10 Following the downmixing, the P downmixed audio channels are then inverse transformed from the frequency-domain to the time-domain so as to obtain PCM coded audio samples which can be utilised to reproduce the audio program. The form of the inverse transformation employed (e.g. short or long) is determined according to the preceding block-switch forcing mode selection. Of course following the inverse transformation the audio data samples may be subjected to overlap-and-add and windowing procedures as known in the art and discussed in some detail hereinabove. This places the decoded audio data in a condition for reproduction by an audio reproduction system, in the form of P decoded and downmixed channels as suitable for the particular reproduction system.
- It will be immediately apparent to those skilled in the art that the principles of the present invention can be practically implemented in several different ways, including in software controlling general purpose computational apparatus. The preferred implementation is of course in a dedicated audio decoding integrated circuit in which the principles of the invention are embodied in hard wired circuitry or in the form of firmware provided for controlling portions of the overall audio decoder. No doubt other forms of implementation will also be apparent to those in the art, and it is intended that such forms not be excluded from the present invention where the principles described herein are nevertheless employed.

The performance measurement between this invention and previous audio decoding 30 implementations shows that a negligible degradation is obtained. This performance

- 19 -

degradation should nevertheless be considered when a particular hardware/software platform is implemented.

Figure 8 shows the frequency-domain downmixing prior to transformation. The M-input 5 channels will be analysed to verify the number of channels with enabling or disabling blockswitch capabilities. A decision is made if there is need to convert some of the channel to block or nonblock-switch forcing. The frequency-domain coefficients of all channels are forced to have the same format and the downmix coefficients are used to obtain P output channels. These coefficients of the P channels are then inverse transformed to the time-10 domain and the windowing and overlap-and-add technique applied to recover the PCM output audio samples.

The foregoing detailed description of the invention has been presented by way of example only, and is not intended to be considered limiting to the invention as defined in the claims appended hereto.

- 20 -

#### **CLAIMS:**

1. An audio decoder, comprising:

a demultiplexer for receiving a data signal and demultiplexing the data signal into a 5 plurality of M frequency-domain input data channels;

means for downmixing said M frequency-domain input channels into P frequency-domain channels, where M > P and P > 0, M and P both integers; and

means for selecting an inverse transformation length and performing an inverse transformation of the P frequency-domain channels according to the selected length, so as to 10 produce P audio sample output channels.

- 2. An audio decoder as claimed in claim 1, wherein the means for selecting and performing an inverse transformation is biased to the selection of a long transform length.
- 15 3. An audio decoder as claimed in claim 1 or 2, further including means for determining a transformation length of each of said M frequency-domain input channels.
  - 4. An audio decoder as claimed in claim 3, wherein the inverse transform length is selected according to the transformation lengths of the M frequency-domain input channels.

20

- 5. An audio decoder as claimed in claim 4, wherein the transformation length of the M frequency-domain input channels comprises a long transform length or a short transform length.
- 25 6. An audio decoder as claimed in claim 5, wherein if the number of input channels having a long transform length is less than or equal to the integer value of M/2, then the inverse transformation of the P frequency-domain channels is performed using a short selected inverse transformation length.

- 21 -

7. An audio decoder as claimed in claim 5, wherein if the number of input channels having a short transform length is less than the integer value of M/2, then the inverse transformation of the P frequency-domain channels is performed using a long selected inverse transformation length.

5

**8.** A method of audio data decoding, comprising:

receiving a data signal and demultiplexing the data signal into a plurality of M frequency-domain input data channels;

downmixing said M frequency-domain input channels into P frequency-domain 10 channels, where M > P and P > 0, M and P both integers; and

selecting an inverse transformation length and performing an inverse transformation of the P frequency-domain channels according to the selected length, so as to produce P audio sample output channels.

- 15 9. A method of audio data decoding as claimed in claim 8, further including a step of determining a transformation length of each of said M frequency-domain input channels.
  - 10. A method of audio data decoding as claimed in claim 8 or 9, wherein the selection of an inverse transformation length is biased to the selection of a long transform length.

20

- 11. A method of audio data decoding as claimed in claim 9, wherein the inverse transform length is selected according to the transformation lengths of the M frequency-domain input channels.
- 25 12. A method of audio data decoding as claimed in claim 11, wherein the transformation length of the M frequency-domain input channels comprises a long transform length or a short transform length.
- 13. A method of audio data decoding as claimed in claim 12, wherein if the number of 30 input channels having a long transform length is less than or equal to the integer value of

- 22 -

M/2, then the inverse transformation of the P frequency-domain channels is performed using a short selected inverse transformation length.

14. A method of audio data decoding as claimed in claim 12, wherein if the number of 5 input channels having a short transform length is less than the integer value of M/2, then the inverse transformation of the P frequency-domain channels is performed using a long selected inverse transformation length.

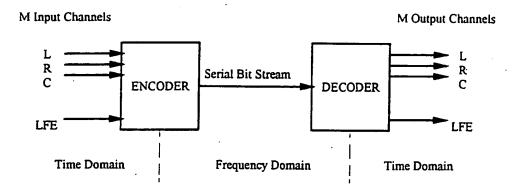


Figure 1: Digital Audio Transmission System

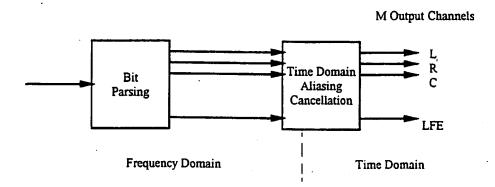


Figure 2: Decoding Process for Digital Audio Transmission System

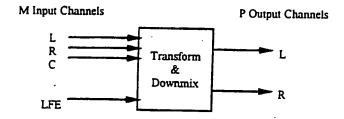


Figure 3: Time-Domain Signal Processing (TDSP) Section

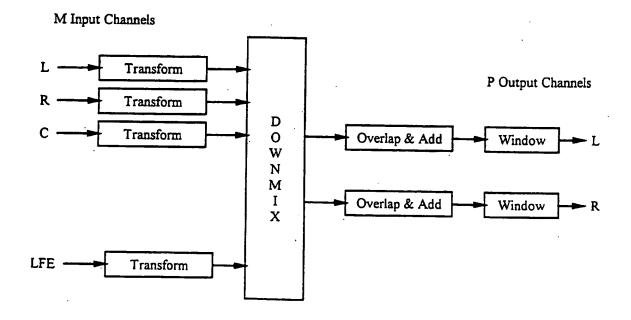


Figure 4: Block Diagram of TDSP Section

3/7

•	Real		Imaginary	Frequency
X[k]	01234567	,	251 252 253 254 255	domain coefficients
Real Imag	0 t 2 3 128 129 130 131	124 125 126 127 252 253 254 255	Frequency domain coefficients	cocarcients
X[k]	0 1 2 3	124 125 126 127	Complex (pre-twiddle)	
<b>Z</b> (k)	0 1 2 3	124 125 126 127	Complex operation	
	0 1 2 3	124 125 126 127	Complex (128-point IFFT)	
z(n)	0 1 2 3	124 125 126 127	Complex operation	
	0 1 2 3	124 125 126 127	Complex (post-twiddle)	
y(n)	0 1 2 3	124 125 126 127	Complex operation	
yr(n)	0 1 2 3	124 125 126 127		
Window	0 1 2 3	124 125 126 127 12	17-126-125-124 3 2 1 0	
	OLL	OHH		
x(n)	127 125-123 5 3 1 511 5	09 389 387 385		
	EHL	ELH	Interleaved	
	128 130 250 252 254 256 2	58 378 380 382		
yi(n)	0 1 2 3	124 125 126 127		
Window	0123	124 125 126 127   12	27 126 125 124 3 2 1 0	
	ЕНН	ELL		
x(n)	384 386 506 508 510 0 2 4	122 124 126	• •	
	OLH	OHL	Interleaved	
	383 381 261 259 257 255 2	53   133   131   129		
	0 1 2 3 4 5 6 7		251 252 253 254 255	
	0,1234307		231 232 233 234 233	

Time domain samples

After this Overlap-and-Add follows

Figure 5: Practical Implementation of Overlap-and-Add Technique for
One Long Block Size Format

SUBSTITUTE SHEET (RULE 26)

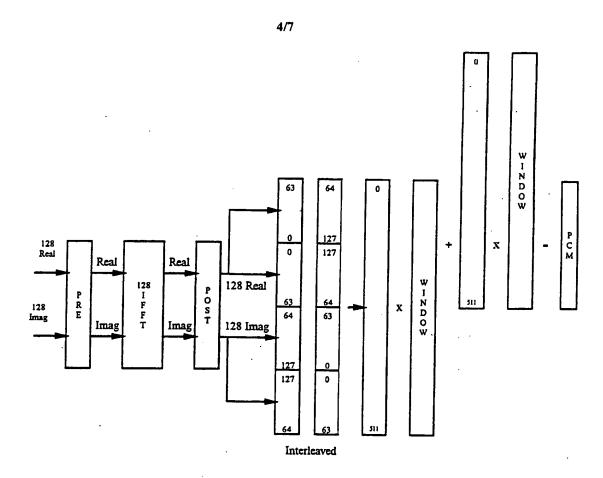


Figure 6: Block Diagram of Practical Implementation of Frequency-domain to Time-domain Conversion

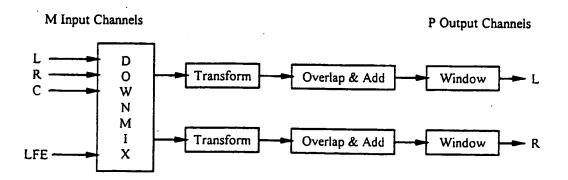


Figure 7: Block Diagram of Block Switch Forcing Method

5/7

<b>ⅣP CH 0</b> ,	L				
	X1[k]		ik)		· XS[k]
De-Interleave & Separate I/P CH 0					
De-Interleave & Separate I/P CH 1	:				
De-Interleave & Separate I/P CH M					
		FREQUENCY DO	MAIN DOWNM	IXING TABLE	
. '	Y1[k]	Y2[k]		YP[k]	
O/P P Channels					
O/P Channel 1		Padded w/ zeros	7		
N/S-Point IFFT			, <b>1</b>		
	O/P Channel 2		Padded w/ zeros	1	
	N/S-Point IFFT			] ]	
		```		J	
		``.			
			```	```	
			O/P Channel P		Padded w/ zeros
			N/S-Point IFFT		

Figure 8: Frequency-Domain Downmixing Prior to Time-Domain Conversion
Forcing one Long Block (LB) into two or more Short Blocks (SBs)

6/7

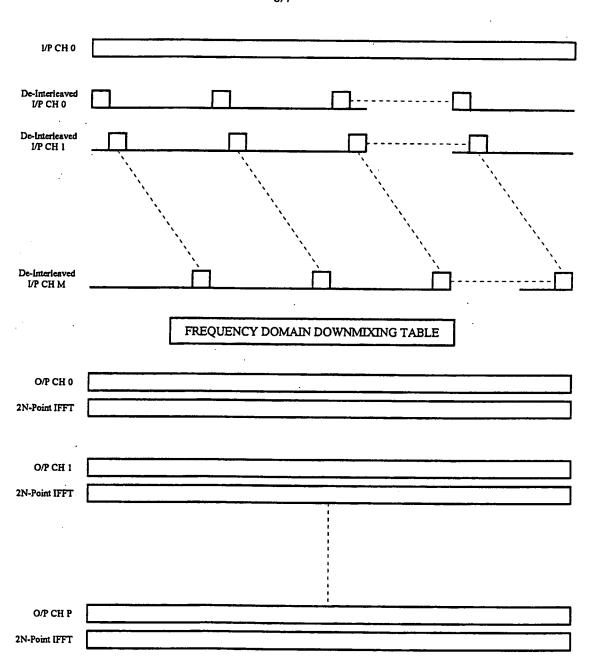


Figure 9: Frequency-Domain Downmixing Prior to Time-Domain Conversion
Forcing two or more Short Blocks (SBs) into one Long Block (LB)

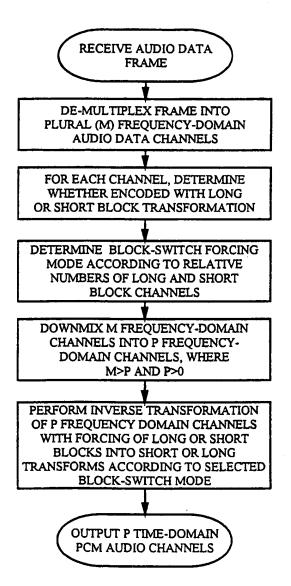


Figure 10

International Application No PCT/SG 97/00020

		PC	CT/SG 97/00020
A. CLASS IPC 6	FIGATION OF SUBJECT MATTER H04S1/00		
According t	to international Pateint Classification (IPC) or to both national classifi	cation and IPC	
	SEARCHED		
Minimum d IPC 6	ocumentation searched (classification system followed by classification H04S H04B G06F H03M H04L	tion symbols)	
Documenta	ation searched other than minimum documentation to the extent that	such documents are included	in the fields searched
Electronic o	data base consulted during the international search (name of data b	ase and, where practical, sear	ch terms used)
C. DOCUM	IENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the re	levant passages	Relevant to claim No.
X A	EP 0 697 665 A (SONY) 21 Februar see page 2, line 5-26	у 1996	1-5,8-12 6,7,13,
	see page 3, line 40 - page 4, li see page 5, line 32-43 see page 6, line 4 - page 9, lin see page 9, line 35-51 see page 9, line 55 - page 10, l see page 10, line 8-16 see page 10, line 27-37 see figure 10	e 8	
A	US 5 321 729 A (SCHRÖDER ET AL.) 1994 see column 2, line 35-67 see column 3, line 21 - column 4 see column 5, line 21-37		1,8
		-/	
X	her documents are listed in the continuation of box C.	X Patent family memb	pers are listed in annex.
"A" docume consider of the consideration of the conside	ent which may throw doubts on priority claim(s) or is cited to establish the publication date of another in or other special reason (as specified) ent referring to an oral disclosure, use, exhibition or means	or priority date and not cited to understand the invention  "X" document of particular n cannot be considered involve an inventive sterm cannot be considered to considered to considered to considered to coment is combined	d after the international filing date in conflict with the application but principle or theory underlying the elevance; the claimed invention to power or cannot be considered to powhen the document is taken alone elevance; the claimed invention or involve an inventive step when the with one or more other such document is being obvious to a person skilled
later ti	ent published prior to the international filing date but han the priority date claimed	"&" document member of the	e same patent family
Date of the	actual completion of theinternational search		ternational search report
1	4 January 1998	27/01/1998	· · · · · · · · · · · · · · · · · · ·
Name and r	mailing address of the ISA  European Patent Office, P.B. 5818 Patentiaan 2  NL - 2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,	Authorized officer	
	Fax: (+31-70) 340-3016	Zanti, P	

1

International Application No
PCT/SG 97/00020

		PCT/SG 97/00020
	ntion) DOCUMENTS CONSIDERED TO BE RELEVANT	Datamento della Ma
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	PATENT ABSTRACTS OF JAPAN vol. 18, no. 488 (E-1605), 12 September 1994 & JP 06 165079 A (MATSUSHITA), 10 June 1994, see abstract	1,8
Α	W0 90 09064 A (DOLBY LABORATORIES) 9 August 1990 see page 1, line 6-9 see page 6, line 31 - page 7, line 15 see page 7, line 29 - page 8, line 1 see page 13, line 7-13 see page 30, line 12 - page 38, line 21 see page 39, line 16-30	1-5,8-12
		·
. )	•	

1

Form PCT/ISA/210 (continuation of second sheet) (July 1992)

information on patent family members

International Application No
PCT/SG 97/00020

Patent document cloted in search report   Publication   Patent family member(s)   Patent family cloted in search report			101/30	37700020
US 5321729 A 14-06-94 DE 4020656 A 02-01-92 AT 153486 T 15-06-97 CA 2045970 A 30-12-91 DE 59108708 D 26-06-97 EP 0464534 A 08-01-92 JP 4233836 A 21-08-92 DP 4233836 A 21-08-93 DP 423373693 A 22-04-93 DP 423373693 A 22-08-90 DP 423373693 A 22-08-90 DP 423373693 A 22-08-90 DP 4233736 DP 4233737 DP 4				
AT 153486 T 15-06-97 CA 2045970 A 30-12-91 DE 59108708 D 26-06-97 EP 0464534 A 08-01-92 JP 4233836 A 21-08-92  WO 9009064 A 09-08-90 US 5109417 A 28-04-92 AT 116495 T 15-01-95 AT 160464 T 15-12-97 AT 150571 T 15-04-97 AT 150571 T 15-04-97 AT 136147 T 15-04-97 AU 655535 B 22-12-94 AU 3373693 A 22-04-93 AU 643677 B 25-11-93 AU 5156390 A 24-08-90 AU 631404 B 26-11-92 AU 5159690 A 24-08-90 CA 2026207 A, C 28-07-90 CA 2026207 A, C 28-07-90 CA 2026213 A, C 21-05-91 DE 69006011 T 18-08-94 DE 69015613 T 24-02-94 DE 69015613 T 24-02-95 DE 69026278 T 14-11-96 DE 69030266 T 14-08-97 DE 69030266 T 14-0	EP 697665 A	21-02-96	JP 8111644 A	30-04-96
AT 116495 T 15-01-95 AT 160464 T 15-12-97 AT 150571 T 15-04-97 AT 150571 T 15-04-97 AT 150571 T 15-04-96 AU 655535 B 22-12-94 AU 373693 A 22-04-93 AU 643677 B 25-11-93 AU 5156390 A 24-08-90 AU 631404 B 26-11-92 AU 5159690 A 24-08-90 CA 2026207 A,C 28-07-90 CA 2026207 A,C 28-07-90 CA 2026213 A,C 21-05-91 DE 69006011 D 24-02-94 DE 69006011 T 18-08-94 DE 69015613 D 09-02-95 DE 69015613 T 24-05-95 DE 69026278 D 02-05-96 DE 69026278 T 14-11-96 DE 69030266 D 24-04-97 DE 69030266 T 14-08-97 DE 69030266 T 14-08-97 DE 69031737 D 02-01-98 EP 0455738 A 13-11-91 EP 0514949 A 25-09-91 EP 0514949 A 25-09-91 EP 0514949 A 25-01-92 EP 0511692 A 04-11-92 EP 0510975 A 17-08-94 ES 2065524 T 16-02-95	US 5321729 A	14-06-94	AT 153486 T CA 2045970 A DE 59108708 D EP 0464534 A	15-06-97 30-12-91 26-06-97 08-01-92
ES 2065524 T 16-02-95	WO 9009064 A	09-08-90	US 5109417 A AT 116495 T AT 160464 T AT 150571 T AT 136147 T AU 655535 B AU 3373693 A AU 643677 B AU 5156390 A AU 631404 B AU 5159690 A CA 2026207 A,C CA 2026213 A,C DE 69006011 D DE 69006011 T DE 69015613 D DE 69015613 T DE 69026278 D DE 69026278 T DE 69030266 D DE 69030266 T DE 69031737 D EP 0455738 A EP 0447495 A EP 0514949 A EP 0511692 A EP 0511692 A EP 0560413 A	28-04-92 15-01-95 15-12-97 15-04-96 22-12-94 22-04-93 25-11-93 24-08-90 26-11-92 24-08-90 28-07-90 21-05-91 24-02-94 18-08-94 09-02-95 24-05-95 02-05-96 14-11-96 24-04-97 14-08-97 02-01-98 13-11-91 25-09-91 25-11-92 19-11-92 04-11-92 15-09-93
			ES 2065524 T	16-02-95

Information on patent family members

International Application No
PCT/SG 97/00020

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9009064 A	<u> </u>	ES 2085680 T	01-06-96
		· JP 4503136 T	04-06-92
		JP 4504192 T	23-07-92
		US 5357594 A	18-10-94
		US 5479562 A	26-12-95
		WO 9009022 A	09-08-90
		US 5222189 A	22-06-93
		US 5297236 A	22-03-94
		US 5142656 A	25-08-92
		US 5230038 A	20-07-93